



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

John HOMEWOOD et al.

Group Art Unit: 2876

Application No.: 10/811,969

Filed: March 30, 2004

Docket No.: 115601

For: SYSTEMS AND METHODS FOR PROVIDING SECURITY IN A VOTING MACHINE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The relevance of reference 7 is discussed in the present specification.
- ☒ 3. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- ☒ 4. An Office Action was mailed on May 2, 2005 based on canceled claim 1-88. Thus, it is respectfully submitted that the Office Action is not based on the merits of the application. However, if a fee under 37 C.F.R. §1.17(p) or any other fee is required to process the Information Disclosure Statement, please debit Account No. 15-0461

Respectfully submitted,

  
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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Form PTO-1449  
(REV. 8-83)US Dept. of Commerce  
PATENT & TRADEMARK OFFICEATTY DOCKET NO.  
115601APPLICATION NO.  
10/811,969

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANTS  
John HOMEWOOD et al.FILING DATE  
March 30, 2004GROUP  
2876

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1	6,769,613 B2	08/03/2004	McDermott et al.		
	2	2002/0077886 A1	06/20/2002	Chung		
	3	2002/0072961 A1	06/13/2002	McDermott et al.		
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	6	5,585,612	12/17/1996	Harp, Jr.		
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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	17	Mercuri, Rebecca, "Electronic Vote Tabulation Checks & Balances," Ph.D. Dissertation Defense, Thesis Abstract, University of Pennsylvania School of Engineering and Applied Science, Department of Computer and Information Systems, Friday, October 27, 2000, 2:30 pm <a href="http://www.notablessoftware.com/Papers/thesdefabs.html">http://www.notablessoftware.com/Papers/thesdefabs.html</a>
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	19	Dugger, Ronnie, "ANNALS OF DEMOCRACY COUNTING VOTES," The New Yorker, November 7, 1988 <a href="http://www.csl.sri.com/users/Neumann/dugger.html">http://www.csl.sri.com/users/Neumann/dugger.html</a>

Date: May 25, 2005



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21	Mercuri, Rebecca, "Voting-Machine Risks," Inside Risks 29, CACM 35, November 11, 1992 <a href="http://notablessoftware.com/Papers/vmrisks.html">http://notablessoftware.com/Papers/vmrisks.html</a>
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23	Neumann, Peter G., "Security Criteria for Electronic Voting," Computer Science Laboratory, SRI International, Presented at the 16 <sup>th</sup> National Computer Security Conference, September 20-23, 1993 <a href="http://www.csl.sri.com/users/Neumann/ncs93.html">http://www.csl.sri.com/users/Neumann/ncs93.html</a>
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35	Rebecca Mercuri - Explanation of Voter-Verified Ballot Systems - 7/02
36	May 95 Minutes of Senate Committee
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38	Feb 95 - Minutes of Senate Committee on Govt. Affairs
39	'86 - Hyde - Progress Report on Computerized Voting
40	'86 - Benson - Computerized Voting

EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Date: May 25, 2005